

# TECHNOLOGY CENTER R3700

PTO/SB/08A (08-00)

Approved for use through 10/31/2002, OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
D a collection of information, relace it displaces a collection of information, relace it displaces as the collection of the collecti

Under the Peperwork Reduction Act of 1995, no persons are required to respond to

Statitute for form 1449A/PTO

## NFORMATION DISCLOSURE EMENT BY APPLICANT

(use as many sheets as necessary) Sheet 1 of 1

| a collection of information unless it displays |                 | _ |
|--|-----------------|---|
| Application Number                             | 10/099,690      |   |
| Filing Date                                    | 3/15/02         |   |
| First Named Inventor                           | John H. Stevens |   |
| Group Art Unit                                 | 3738            | _ |
| Examiner Name                                  | D. Isabella     |   |
| Attorney.Docket Number                         | HRT-0293        |   |

|                      | , .a | LUC Dates De    |                                   | U.S. PATENT DOCUMENTS                           | <del></del>                                      |   |
|----------------------|------|-----------------|-----------------------------------|---|--|---|
| Examiner<br>Initials | Cite | U.S. Patent Doo | Kind Code <sup>2</sup> (if known) | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document mm-dd-yyyy | Pages, Columns, Lines,<br>where relevant passages<br>or relevant figures appear |
|                      |      | 6,401,720       | 81                                | Stevens et al.                                  | 6/11/2002  |   |
|                      |      |                 |                                   |   |  | L   |
|                      |      |                 |                                   |   |  |   |
|                      |      |                 |                                   |   |  |   |
|                      |      |                 |                                   |   | <u> </u>   |   |
|                      |      |                 |                                   |   |  | ·   |
|                      |      |                 |                                   |   |  |   |
|                      |      |                 |                                   |   |  |   |
|                      |      |                 |                                   |   |  | <del></del>   |
|                      | ļ    |                 |                                   | · · · · · · · · · · · · · · · · · · ·           | <u> </u>   |   |
|                      | ļ    |                 |                                   |   | <del></del>                                      |   |
|                      |      |                 |                                   | IGN PATENT DOCUMENTS                            |  |   |

| Examiner Cite | Foreign | Patent Doc          | ment                | Name of Patentee or   | Date of Publication of Cited Document | Pages, Columns, Lines,<br>where relevant |  |                |
|---------------|---------|---------------------|---------------------|-----------------------|---------------------------------------|--|--|----------------|
|               |         | Office <sup>3</sup> | Number <sup>4</sup> | KindCode <sup>5</sup> | Applicant of Cited Document           | mm-dd-yyyy                               | passages or relevant<br>figures appear | T <sup>6</sup> |
|               |         |                     |                     |                       |                                       | <b></b>                                  |  |                |
|               | -       | -                   |                     |                       |                                       |  |  | -              |
|               |         |                     |                     | + +                   |                                       | <del></del>                              |  |                |
|               |         |                     |                     | 1                     | 7. 7                                  |  |  |                |
|               |         |                     |                     |                       |                                       |  |  |                |
|               |         |                     |                     |                       | 10                                    |  |  |                |

| Examiner  | Date       |
|-----------|------------|
| Signature | Considered |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number, 2 See attached Kinds of U.S. Patent Documents, 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document, 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 If possible & Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





# NOV 1 8 2003

#### TECHNOLOGY CENTER R3700

| He et al., "Application of Ultrasound Energy for Intracardiac Ablation of Arrythmias", European Heart J. (1995) 16, pp. 961-966.   |
|--|
| He et al., "Preliminary Results Using Ultrasound Energy for Ablation of the Ventricular Myocardium in Dogs", Amer J of Cardiology, Vol. 73, 5/15/94, pp. 1029-1031.  |
| Hoffman et al., "Temperature-controlled Radiofrequency Catheter Ablation of AV conduction: first Clinical Experience", European Heart Journal (1993) 14, 57-64.  |
| Inoue et al., "Video Assisted Thoracoscopic and Cardioscopic Radiofrequency Maze Ablation", ASAIO J. 1997, pp. 334-337.  |
| iwa et al., "Present Status of Surgical Management of Supraventricular Tachycardias" (Abstract), J. Cardiovasc Surg, 31, 1990, p 13  |
| Jais et al., "Catheter Ablation for Paroxzysmal Atrial Fibriliation: High Success Rates wioth Ablation in the Left Atrium" (Abstract), Circulation Vol 94, No. 8, 10/15/96.  |
| Josephson et al., iSubendocardial Resection in Surgical Treatment of Ventricular Arrhythmias in Patients with Ischemic Heart Disease", (Abstract); Grudnaya E. Serdechno-Sosudistaya Khirurgiya, No. 4, 1990           |
| Klein et al., "Surgical Correction of the Wolff-Parkinson-White Syndrome in the Closed Heart Using Cryosurgery: A simplified Approach", American College of Cardiology, Vol 3, No. 2, 2/1984, pp. 405-409.             |
| LaVergne et al., "Transcatheter Radiofrequency Ablation of Atrial Tissue Using a Suction Catheter", PACE, Vol. 12, 1/1989, Part II, pp. 177-186.   |
| Lawrie et al., "Surgical Treatment of Supraventricular Arrhythmias, Results in 67 Patients", Annals of Surgery, Vol. 205, No. 6, 6/1987, pp. 700-711.  |
| Lindsey et al., "Inoperative Observations and Epicardial Mapping after Attempted Catheter Abiation of Atrial Fibrillation", (Abstract), Supp. to Circulation, Vol. 96, No. 8, 10/21/997                                |
| Louagie et al. "Closed Heart Cryoablation of the His Bundle Using an Anterior Septal Approach", Annals of Thoracic Surgery, Vol. 51, No. 4, 4/1991, pp.616-619.  |
| Minzioni et al., "Surgical Treatment of Atrial Fibrillation in Patients with Atrial Septal Defect" (Abstract), J<br>Cardiovasc Surg., 33, 1992, p. 22.   |
| Nitta et al., "Superior-septal approach for transmittal mapping and cryoablation of ventricular tachycardia", J. of Cardiovascular Surgery, Vol 38, No. 6, 1997, pp.615-617.   |
| Pfeiffer, et al., "Epicardial Neodymium, YAG Laser Photocoagulation of Vewntricular Toachycardia without Ventriculotomy in Patients after Myocardial Infarction", Circulation, Vo. 94, No. 12, 12/14/96; pp. 3221-3225 |
| Santarelli et al., "Results of Surgical Treatment of Supraventricular Tachycardies", (Abstract). Giornale Italiano di Cardiologia, kVol. 23, 1/1993, pp. 9-18.   |
| Melvin Scheinman, "Supraventricular Tachyamhythmias: Drug Therapy versus Catheter Ablation", Clin. Cardiol. Vol. 17, (Suppl. III) II-11-II-15 (1994)   |
| Sie et al., "Radiofrequency Ablation of Atrial Fibrillation in Patients Undergoing Valve Surgery" (Abstract),<br>Circulation, Vol. 94, No. 12, 10/12/97  |
| Sosa, et al., Radiofrequency Catheter Ablation of Ventricular Tachycardia Guided by Nonsurgical Epicardial Mapping in Chronic Chagasic Heart Disease", PACE, Vol. 22, 1/1999, Part I, pp. 128-130.                     |
| Szabo et al., "Cryosurgical Modification of the Atrioventricular Node: A Closed Heart Approach in the Dog", American College of Cardiology, Vol 10, No. 2, 8/1987, pp. 389-398.  |
| Thomas et al., "An Endocardial Radiofrequency Ablative Technique for Cure of Atrial Fibrillation During Cardiac Surgery" (Abstract), Circulation, Vo. 94, No. 12, 10/12/97   |
| Ward et al., "Electrical Ablation of Junctional Tachycardias showing a Long RP Interval", Eur. Heart J., (1989) 10, 718-724.   |
|  |



NOV 1 4 2003 R. P. P. L.

PTO/SB/08A (08-00)
PTO/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0551-0031
U.S. Pasent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control of 1449A/PTO

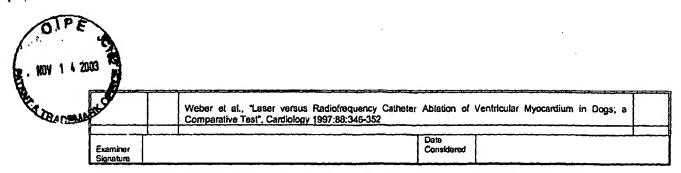
ute for form 1448A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 1 of 2

| a collection of information unless it displays a | valid OMB control number. | _ |
|--|---------------------------|---|
| Application Number                               | 10/099,690                | 1 |
| Filing Date                                      | 3/15/02                   | 1 |
| First Named Inventor                             | John H. Stevens           | 1 |
| Group Art Unit                                   | 3738                      | 1 |
| Examiner Name                                    | D. Isabella               | 1 |
| Attorney Docket Number                           | HRT0293                   | 1 |

|                         |              | Sheet 1 of 2   |    |
|-------------------------|--------------|--|----|
| Examiner's<br>Initials" | Cite<br>No.' | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Ls |
|                         |              | Aliot et al., "Mahaim tachycardias", European Society of Cardiology, 1998, No. 19, pp. E-25-E31.   |    |
|                         |              | Bredikis et al. "Laser Surgery of Heart Pathways", Kardiologiya, No 5/1985, 98-99  |    |
|                         |              | Bredikis et al., "Cryogenic and laser techniques in closed heart surgery of ectopic atrial tachycardia" (Abstract), J. Cardiovasc Surg., 29, 1988, p. 54   |    |
|                         |              | Chevalier et al., "Thoracoscopic Epicardial Radiofrequency Ablation for Vagal Atrial Fibrillation in Dogs" PACE, Vol 22, 6/1999, Part I, pp. 880-886   |    |
|                         |              | Elvan, et al. "Radiofrequency Catheter Ablation of the Atria Eliminates Pacing-Induced Sustained Atrial Fibrillation and Reduces Connexin 43 in Dogs", Circulation, Vol. 96, No. 5, 9/2/97 pp.1675-1685  |    |
|                         |              | Elvan et al., "Radiofrquency Catheter Ablation of the Atria Reduces Inducibility and Duration of Atrial Fibrillation in Dogs", Circulation, Vol. 91, No. 8, 4/15/95, pp. 2235-2244.  |    |
|                         |              | Ferguson et al., "Surgical Treatment of Annythmias" Heart Disease & Stroke, Jan/Feb. 1993, pp. 37-43.  |    |
|                         |              | Frank et at., "Surgical Treatment of Focal Atrial Tachycardia" (Abstract); Kardiologie, 776:118-123 (1987)   |    |
|                         |              | Frank et al., "Surgical Treatment of Wolf Parkinson-White Syndrome; Results from Surgeries on 120 Patients", (Abstract), Zeitschrift fur Herz, Thorax & Gefaesschirurgie, 1992, No. 6, pp. 257-263   |    |
|                         |              | Guiraudon et al., "Surgical Repair of Wolff-Parkinson-White Syndrome: A New Closed-Heart Technique", Annals of Thoracic Surgery, Vol. 37, No. 1, 1/1984, pp.67-71.   |    |
|                         |              | Guiraudon et al., "Supraventricular Tachycardias: The Role of Surgery", PACE, vol. 16, March, Part II 1993, pp. 658-670.   |    |
|                         |              | Gulraudon et al., "Closed Heart Surgery for Wolff-Parkinson-White Syndrome", IJC 5:387-91 (1984)   |    |
|                         |              | Guiraudon et al., "Surgical ablation of posterior septal accessory pathways in the Wolff-Parkinson-White syndrome by a closed heart fechnique", J Thorac Cardiovasc Surg 92:408-413 (1986)   |    |
|                         |              | Guiraudon et al., "Surgery for Wolff-Parkinson-White syndrome: further experience with an epicardial approach," Circulation 74:525-29 (1986)   |    |
|                         |              | Guiraudon et al., "Closed-Heart Technique for Wolff-Parkinson-White Syndrome: Further Experience and Potential Limitations", Ann of Thorac Surg 42:651-57 (1986)   |    |
|                         |              | Guiraudon et al., "Surgical Alternatives for Supraventricular Tachycardias, Am J of Cardiology", vol 64, No. 20:92J-96J (December 5, 1989).  |    |
|                         |              | Guiraudon et al., "Surgicsl Epicardial Abiation of Left Ventricular Pathway Using Sling Exposure", Ann of Thorac Surg 50:968-71 (1980)   |    |
|                         |              | Guiraudon et al., "Surgical Treatment of Wolff-Parkinson-White Syndrome: A "Retrospecive" View", Ann of Thorac Surg 58:1254-61 (1994)  |    |
|                         |              | Guiraudon et al., "Surgical Dissection of Kent Bundle Using Closed Heart Procedure" (Abstract), Archive Des<br>Maladies de Coeur, vol. 77, No. 6, 1984   |    |
|                         |              | Harrison et al., "Cryosurgical Ablation of the A-V Node-His Bundle; a New Method for Producing A-V Block", Circulation Vol. 55, No. 3, 3/1977, 463-70.   |    |
|                         |              | Hauer et al., "Para' AV nodal re-entry tachycardias", European Heart J, 1998, 19, (suppl. E), E2-E9.   |    |



\*EXAMINER: Initial if reterence considered, whether or not citation is in conformance with MPEP 609. Drew line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Unique citation designation number, 2 Applicant is to place a check mark here if English language Translation is attached. Burden Hour Statement. This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Tradement Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

RECEIVED

NOV 1 8 2003

TECHNOLOGY CENTER R3700